# U.S. DEPARTMENT OF STATE U.S. EMBASSY Lome Notice of Funding Opportunity

**Funding Instrument Type:** Grant

**Funding Opportunity Title:** U.S. Ambassadors Funds for Cultural

Preservation

**Funding Opportunity Number:** PAS-AFCP-FY22-0001

**Deadline for Applications:** November 30, 2021

CFDA Number: 19.025

**Awards Floor:** \$10,000.00

**Awards Ceiling:** \$500,000.00

### A. PROGRAM DESCRIPTION

The **Public Affairs Section** of the U.S. Embassy, Lomé announces an open competition for organizations to submit applications to carry out a program (or programs) to support cultural preservation. Please carefully follow all instructions below.

**Priority Region:** Five regions of Togo

# **Program Objectives:**

The Department of State established the AFCP in 2001 at the request of Congress (Conference Report 106-1005 accompanying H.R. 4942). At the time, the Senate noted that the preservation of cultural heritage "offers an opportunity to show a different American face to other countries, one that is non-commercial, non-political, and non-military." The projects recommended for funding advance U.S. foreign policy goals and show American respect for cultural heritage. Cultural preservation is effective public diplomacy that resonates deeply with opinion

leaders and local communities, even in countries where ties may be otherwise limited. AFCP projects strengthen civil society, encourage good governance, and promote political and economic stability around the world.

# **Participants and Audiences:**

Youth Organizations, Civil Society groups, and NGOs etc.

### **B. FEDERAL AWARD INFORMATION**

Length of performance period: 60 months maximum

Number of awards anticipated: 1 to 3 awards (dependent on amounts)

Award amounts: awards may range from a minimum of \$10.000.00 to a maximum

of \$500,000.00

Total available funding: N/A

Type of Funding: FY22 Fulbright Hays, U.S. Ambassadors Funds for Cultural

Preservation.

Anticipated program start date: *June 2022* 

#### C. ELIGILIBITY INFORMATION

1. Eligible Applicants

The following organizations are eligible to apply:

- Public and private educational institutions
- For-profit organizations (only if allowed by appropriation not for PD funds)
- Public International Organizations and Governmental institutions
- 2. Cost Sharing or Matching

# No cost share required

3. Other Eligibility Requirements

In order to be eligible to receive an award, all organizations must have a unique entity identifier (Data Universal Numbering System/DUNS number from Dun & Bradstreet), as well as a valid registration on www.SAM.gov. Please see Section D.3 for information on how to obtain these registrations.

Individuals are not required to have a unique entity identifier or be registered in SAM.gov.

NOTE: Applicants are only allowed to submit one proposal per organization. If more than one proposal is submitted from an organization, all proposals from that institution will be considered ineligible for funding.

### D. APPLICATION AND SUBMISSION INFORMATION

1. Address to Request Application Package

Application forms required below are available at Embassy Lome, Public Affairs Section, and www.grants.gov

2. Content and Form of Application

<u>Please follow all instructions below carefully</u>. Proposals that do not meet the requirements of this announcement or fail to comply with the stated requirements will be ineligible.

# **Content of Application**

Please ensure:

- The proposal clearly addresses the goals and objectives of this funding opportunity
- All documents are in English
- All budgets are in U.S. dollars
- All pages are numbered
- All documents are formatted to  $8 \frac{1}{2} \times 11$  paper, and
- All Microsoft Word documents are single-spaced, 12 point Times New Roman font, with

a minimum of 1-inch margins.

• All documents should be scanned into a pdf and sent as a single file

The following documents are **required**:

# 1. Mandatory application forms

- **SF-424** (*Application for Federal Assistance organizations*) at <a href="https://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html#sortby=1">https://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html#sortby=1</a>
- **SF-424-I** (*Application for Federal Assistance --individuals*) at <a href="https://www.grants.gov/web/grants/forms/sf-424-individual-family.html#sortby=1">https://www.grants.gov/web/grants/forms/sf-424-individual-family.html#sortby=1</a>
  - **SF424A** (*Budget Information for Non-Construction programs*) at <a href="https://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html#sortby=1">https://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html#sortby=1</a>
  - SF424B (Assurances for Non-Construction programs) at <a href="https://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html#sortby=1">https://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html#sortby=1</a>
- **2. Summary Page:** Cover sheet stating the applicant name and organization, proposal date, program title, program period proposed start and end date, and brief purpose of the program.
- **3. Proposal** (*4* pages maximum): The proposal should contain sufficient information that anyone not familiar with it would understand exactly what the applicant wants to do. You may use your own proposal format, but it must include all the items below.
  - **Proposal Summary:** Short narrative that outlines the proposed program, including program objectives and anticipated impact.
  - Introduction to the Organization or Individual applying: A description of past and present operations, showing ability to carry out the program, including information on all previous grants from the U.S. Embassy and/or U.S. government agencies.
  - **Problem Statement:** Clear, concise and well-supported statement of the problem to be addressed and why the proposed program is needed
  - **Program Goals and Objectives:** The "goals" describe what the program is intended to achieve. The "objectives" refer to the intermediate accomplishments on the way to the goals. These should be achievable and measurable.

- **Program Activities**: Describe the program activities and how they will help achieve the objectives.
- **Program Methods and Design**: A description of how the program is expected to work to solve the stated problem and achieve the goal. Include a logic model as appropriate.
- **Proposed Program Schedule and Timeline:** The proposed timeline for the program activities. Include the dates, times, and locations of planned activities and events.
- **Key Personnel:** Names, titles, roles and experience/qualifications of key personnel involved in the program. What proportion of their time will be used in support of this program?
- **Program Partners:** List the names and type of involvement of key partner organizations and sub-awardees.
- **Program Monitoring and Evaluation Plan:** This is an important part of successful grants. Throughout the time-frame of the grant, how will the activities be monitored to ensure they are happening in a timely manner, and how will the program be evaluated to make sure it is meeting the goals of the grant?
- **Future Funding or Sustainability** Applicant's plan for continuing the program beyond the grant period, or the availability of other resources, if applicable.
- **4. Budget Justification Narrative**: After filling out the SF-424A Budget (above), use a separate sheet of paper to describe each of the budget expenses in detail. See section *H. Other Information: Guidelines for Budget Submissions* below for further information.

## 5. Attachments:

- 1-page CV or resume of key personnel who are proposed for the program
- Letters of support from program partners describing the roles and responsibilities of each partner
- If your organization has a NICRA and includes NICRA charges in the budget, your latest NICRA should be included as a PDF file.
- Official permission letters, if required for program activities
- Unique Entity Identifier and System for Award Management (SAM.gov) (NOTE: This section is required and not optional, except for NOFOs targeting applications from individuals instead of organizations)

# 6. Required Registrations:

Any applicant listed on the Excluded Parties List System (EPLS) in the System for Award Management (SAM) is not eligible to apply for an assistance award in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR, 1986 Comp., p. 189) and 12689 (3 CFR, 1989 Comp., p. 235), "Debarment and Suspension." Additionally, no entity listed on the EPLS can participate in any activities under an award. All applicants are strongly encouraged to review the EPLS in SAM to ensure that no ineligible entity is included.

All organizations applying for grants (except individuals) must obtain these registrations. All are free of charge:

- Unique entity identifier from Dun & Bradstreet (DUNS number)
- NCAGE/CAGE code
- www.SAM.gov registration

**Step 1:** Apply for a DUNS number and an NCAGE number (these can be completed simultaneously)

DUNS application: Organizations must have a Data Universal Numbering System (DUNS) number from Dun & Bradstreet. If your organization does not have one already, you may obtain one by calling 1-866-705-5711 or visiting <a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a>

NCAGE application: Application page here:

 $\underline{https://eportal.nspa.nato.int/AC135Public/scage/CageList.aspx}$ 

Instructions for the NCAGE application process:

 $\frac{https://eportal.nspa.nato.int/AC135Public/Docs/US\%20Instructions\%20for\%20NS}{PA\%20NCAGE.pdf}$ 

For NCAGE help from within the U.S., call 1-888-227-2423 For NCAGE help from outside the U.S., call 1-269-961-7766 Email NCAGE@dlis.dla.mil for any problems in getting an NCAGE code.

**Step 2:** After receiving the NCAGE Code, proceed to register in SAM.gov by logging onto: <a href="https://www.sam.gov">https://www.sam.gov</a>. SAM registration must be renewed annually.

#### 7. Submission Date:

Applications are due no later than November 30, 2021

# **Funding Areas:**

The AFCP Grants Program supports the preservation of archaeological sites, historic buildings and monuments, museum collections, and forms of traditional cultural expression, such as indigenous languages and crafts. Appropriate project activities may include:

- a) Anastylosis (reassembling a site from its original parts)
- b) Conservation (addressing damage or deterioration to an object or site)
- c) Consolidation (connecting or reconnecting elements of an object or site)
- d) Documentation (recording in analog or digital format the condition and salient features of an object, site, or tradition)
- e) Inventory (listing of objects, sites, or traditions by location, feature, age, or other unifying characteristic or state)
- f) Preventive Conservation (addressing conditions that threaten or damage a site, object, collection, or tradition)
- g) Restoration (replacing missing elements to recreate the original appearance of an object or site, usually appropriate only with fine arts, decorative arts, and historic buildings)
- h) Stabilization (reducing the physical disturbance of an object or site)

#### **Restrictions:**

AFCP does not support the following activities or costs, and the Center will deem applications requesting AFCP support for any of these activities or costs ineligible:

- a) Preservation or purchase of privately or commercially owned cultural objects, collections, or real property, including those whose transfer from private or commercial to public ownership is envisioned, planned, or in process but not complete at the time of application.
- b) Preservation of natural heritage (physical, biological, and geological formations, paleontological collections, habitats of threatened species of animals and plants,

fossils, etc.) unless the natural heritage has a cultural heritage connection or dimension.

- c) Preservation of hominid or human remains.
- d) Preservation of news media (newspapers, newsreels, radio and TV programs, etc.).
- e) Preservation of published materials available elsewhere (books, periodicals, etc.).
- f) Development of curricula or educational materials for classroom use.
- g) Archaeological excavations or exploratory surveys for research purposes.
- h) Historical research, except in cases where the research is justifiable and integral to the success of the proposed project.
- i) Acquisition or creation of new exhibits, objects, or collections for new or existing museums.
- j) Construction of new buildings, building additions, or permanent coverings (over archaeological sites, for example).
- k) Commissions of new works of art or architecture for commemorative or economic development purposes.
- 1) Creation of new or the modern adaptation of existing traditional dances, songs, chants, musical compositions, plays, or other performances.
- m) Creation of replicas or conjectural reconstructions of cultural objects or sites that no longer exist.
- n) Relocation of cultural sites from one physical location to another.
- o) Removal of cultural objects or elements of cultural sites from the country for any reason.
- p) Digitization of cultural objects or collections, unless part of a larger, clearly defined conservation, documentation, or public diplomacy effort.
- q) Conservation plans or other studies, unless they are one component of a larger project to implement the results of those studies.
- r) Cash reserves, endowments, or revolving funds (funds must be expended within the award period [up to five years] and may not be used to create an endowment or revolving fund).
- S) Costs of fund-raising campaigns.
- t) Contingency, unforeseen, or miscellaneous costs or fees.
- u) Costs of work performed prior to announcement of the award unless allowable per 2 CFR 200.458 and approved by the Grants Officer.
- v) International travel, except in cases where travel is justifiable and integral to the success of the proposed project or to provide project leaders with learning and exchange opportunities with cultural heritage experts.
- w) Individual projects costing less than US \$10,000 or more than \$500,000.
- x) Independent U.S. projects overseas.

# 8. Funding Priorities:

Some of the most successful AFCP projects have been designed as part of a greater PD programming are promoting specific U.S. policy goals and host-country or community goals. Accordingly, in FY 2022, ECA will give preference to projects that do one or more of the following:

- a) Support U.S. treaty or bilateral agreement obligations
- b) Support U.S. Embassy Integrated Country Strategies
- c) Support disaster risk reduction for cultural heritage in disaster-prone areas
- d) Support post-disaster cultural heritage recovery
- e) Preserve World Heritage sites
- f) Partner, connect with or feed into other ECA programs

This notice does not fund privately or commercially owned cultural objects, collections,

or real property, including those whose transfer from private or commercial to public

ownership is envisioned.

# 9. Other Submission Requirements

All application materials must be submitted by email to <a href="mailto:LomePDGrants@state.gov">LomePDGrants@state.gov</a>

### E. APPLICATION REVIEW INFORMATION

#### 1. Criteria

Each application will be evaluated and rated on the basis of the evaluation criteria outlined below.

Quality and Feasibility of the Program Idea -25 points: The program idea is well developed, with detail about how program activities will be carried out. The proposal includes a reasonable implementation timeline.

Organizational Capacity and Record on Previous Grants – 25 points: The organization has expertise in its stated field and has the internal controls in place to manage federal funds. This includes a financial management system and a bank account.

Program Planning/Ability to Achieve Objectives – 15 points: Goals and objectives are clearly stated and program approach is likely to provide maximum impact in achieving the proposed results.

**Budget** – **10 points:** The budget justification is detailed. Costs are reasonable in relation to the proposed activities and anticipated results. The budget is realistic, accounting for all necessary expenses to achieve proposed activities.

Monitoring and evaluation plan -15 points: Applicant demonstrates it is able to measure program success against key indicators and provides milestones to indicate progress toward goals outlined in the proposal. The program includes output and outcome indicators, and shows how and when those will be measured.

Sustainability -10 points: Program activities will continue to have positive impact after the end of the program.

## 2. Review and Selection Process

A Grants Review Committee will evaluate all eligible applications. A review committee composed of Embassy Lome Personnel score eligible proposals. The selected grantee will then be contacted for the next steps.

3. Federal Awardee Performance & Integrity Information System (FAPIIS)

For any Federal award under a notice of funding opportunity, if the Federal awarding agency anticipates that the total Federal share will be greater than the simplified acquisition threshold on any Federal award under a notice of funding opportunity may include, over the period of performance (see §200.88 Simplified Acquisition Threshold), this section must also inform applicants:

- i. That the Federal awarding agency, prior to making a Federal award with a total amount of Federal share greater than the simplified acquisition threshold, is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (currently FAPIIS) (see 41 U.S.C. 2313);
- ii. That an applicant, at its option, may review information in the designated integrity and performance systems accessible through SAM and comment on any

information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM;

iii. That the Federal awarding agency will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in §200.205 Federal awarding agency review of risk posed by applicants.

### F. FEDERAL AWARD ADMINISTRATION INFORMATION

#### 1. Federal Award Notices

The grant award or cooperative agreement will be written, signed, awarded, and administered by the Grants Officer. The assistance award agreement is the authorizing document and it will be provided to the recipient for review and signature by email. The recipient may only start incurring program expenses beginning on the start date shown on the grant award document signed by the Grants Officer.

If a proposal is selected for funding, the Department of State has no obligation to provide any additional future funding. Renewal of an award to increase funding or extend the period of performance is at the discretion of the Department of State.

Issuance of this NOFO does not constitute an award commitment on the part of the U.S. government, nor does it commit the U.S. government to pay for costs incurred in the preparation and submission of proposals. Further, the U.S. government reserves the right to reject any or all proposals received.

**Payment Method:** EFT is currently the unique funds disbursement method.

# 2. Administrative and National Policy Requirements

**Terms and Conditions:** Before submitting an application, applicants should review all the terms and conditions and required certifications which will apply to this award, to ensure that they will be able to comply. These include:

<u>2 CFR 200</u>, <u>2 CFR 600</u>, and the Department of State Standard Terms and Conditions which are available at: <a href="https://www.state.gov/about-us-office-of-the-procurement-executive/">https://www.state.gov/about-us-office-of-the-procurement-executive/</a>.

Note the U.S Flag branding and marking requirements in the Standard Terms and Conditions.

# 3. Reporting

**Reporting Requirements:** Recipients are required to submit quarterly (calendar year) program progress and financial reports throughout the project period in English. Progress (SF-PPR, narrative) and financial reports (SF424 and a detailed financial expenditure report) are due 30 days after the reporting period. Final certified programmatic and financial reports are due 90 days after the close of the project period. All reports are to be submitted electronically.

Applicants should be aware of the post award reporting requirements reflected in <u>2</u> <u>CFR 200 Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters.</u>

### G. FEDERAL AWARDING AGENCY CONTACTS

If you have any questions about the grant application process, please contact: LomePDGrants@state.gov

## H. OTHER INFORMATION

# **Guidelines for Budget Justification**

Personnel and Fringe Benefits: Describe the wages, salaries, and benefits of temporary or permanent staff who will be working directly for the applicant on the program, and the percentage of their time that will be spent on the program.

Travel: Estimate the costs of travel and per diem for this program, for program staff, consultants or speakers, and participants/beneficiaries. If the program involves international travel, include a brief statement of justification for that travel.

Equipment: Describe any machinery, furniture, or other personal property that is required for the program, which has a useful life of more than one year (or a life longer than the duration of the program), and costs at least \$5,000 per unit.

Supplies: List and describe all the items and materials, including any computer devices, that are needed for the program. If an item costs more than \$5,000 per unit, then put it in the budget under Equipment.

Contractual: Describe goods and services that the applicant plans to acquire through a contract with a vendor. Also describe any sub-awards to non-profit partners that will help carry out the program activities.

Other Direct Costs: Describe other costs directly associated with the program, which do not fit in the other categories. For example, shipping costs for materials and equipment or applicable taxes. All "Other" or "Miscellaneous" expenses must be itemized and explained.

Indirect Costs: These are costs that cannot be linked directly to the program activities, such as overhead costs needed to help keep the organization operating. If your organization has a Negotiated Indirect Cost Rate (NICRA) and includes NICRA charges in the budget, attach a copy of your latest NICRA. Organizations that have never had a NICRA may request indirect costs of 10% of the modified total direct costs as defined in 2 CFR 200.68.

"Cost Sharing" refers to contributions from the organization or other entities other than the U.S. Embassy. It also includes in-kind contributions such as volunteers' time and donated venues.

Alcoholic Beverages: Please note that award funds cannot be used for alcoholic beverages.